

UNITED STATE DEPARTMENT OF COMMERCE Patent and Trademark Office

NOTICE OF ALLOWANCE AND ISSUE FEE DUE

TM11/1024

WAGNER MURABITO & HAO TWO NORTH MARKET STREET THIRD FLOOR SAN JOSE CA 95113

APPLICATION NO.	FILING DATE	TOTAL CLAIMS	EXAMINER AND GROUP ART UNIT		DATE MAILED
09/116,426	07/15/98	016	DO, T	2171	10/24/00
First Named SURESH, Applicant		35 L	SC 154(b) term ext. =	0 Day	/5.

TITLE OF METHOD AND ARCHITECTURE FOR AUTOMATED OPTIMIZATION OF ETL THROUGHPUT INVENTION IN DATA WAREHOUSING APPLICATIONS

ATTY'S DOCKET NO.	CLASS-SUBCLASS	BATCH NO.	Af	PPLN. TYPE	SMALL ENTITY	FEE DUE	DATE DUE
2 INFO.P005	707-00	06.000	U16	UTILITY	' NO	\$1240.00	01/24/01

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED.

THE ISSUE FEE MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED.</u>

HOW TO RESPOND TO THIS NOTICE:

- Review the SMALL ENTITY status shown above.
 If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:
 - A. If the status is changed, pay twice the amount of the FEE DUE shown above and notify the Patent and Trademark Office of the change in status, or
 - B. If the status is the same, pay the FEE DUE shown above.

If the SMALL ENTITY is shown as NO:

- A. Pay FEE DUE shown above, or
- B. File verified statement of Small Entity Status before, or with, payment of 1/2 the FEE DUE shown above.
- II. Part B-Issue Fee Transmittal should be completed and returned to the Patent and Trademark Office (PTO) with your ISSUE FEE. Even if the ISSUE FEE has already been paid by charge to deposit account, Part B Issue Fee Transmittal should be completed and returned. If you are charging the ISSUE FEE to your deposit account, section "4b" of Part B-Issue Fee Transmittal should be completed and an extra copy of the form should be submitted.
- III. All communications regarding this application must give application number and batch number.

 Please direct all communications prior to issuance to Box ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PATENT AND TRADEMARK OFFICE COPY

Notice of Allowability

Application No. 09/116,426 Applicant(s)

Sankaran Suresh

Examiner

Thuy Do

Group Art Unit 2771

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance and Issue Fee Due or other appropriate communication will be mailed in due course.
This communication is responsive to <u>the Amenment filed on 08/ 15/2000</u>
X The allowed claim(s) is/are 1, 5-12, and 16-22
The drawings filed on are acceptable.
☐ Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d).
☐ All ☐Some* ☐None of the CERTIFIED copies of the priority documents have been
☐ received.
received in Application No. (Series Code/Serial Number)
received in this national stage application from the International Bureau (PCT Rule 17.2(a)).
*Certified copies not received:
☐ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e).
A SHORTENED STATUTORY PERIOD FOR RESPONSE to comply with the requirements noted below is set to EXPIRE THREE MONTHS ROM THE "DATE MAILED" of this Office action. Failure to timely comply will result in ABANDONMENT of this application. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).
☐ Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL APPLICATION, PTO-152, which discloses that the oath or declaration is deficient. A SUBSTITUTE OATH OR DECLARATION IS REQUIRED.
X Applicant MUST submit NEW FORMAL DRAWINGS
🔀 because the originally filed drawings were declared by applicant to be informal.
including changes required by the Notice of Draftsperson's Patent Drawing Review, PTO-948, attached hereto or to Paper No
including changes required by the proposed drawing correction filed on, which has been approved by the examiner.
☐ including changes required by the attached Examiner's Amendment/Comment.
Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the reverse side of the drawings. The drawings should be filed as a separate paper with a transmittal lettter addressed to the Official Draftsperson.
☐ Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.
Any response to this letter should include, in the upper right hand corner, the APPLICATION NUMBER (SERIES CODE/SERIAL NUMBER). If applicant has received a Notice of Allowance and Issue Fee Due, the ISSUE BATCH NUMBER and DATE of the NOTICE OF ALLOWANCE should also be included.
Attachment(s)
□ Notice of References Cited, PTO-892
☐ Information Disclosure Statement(s), PTO-1449, Paper No(s).
Attachment(s) Notice of References Cited, PTO-892 Information Disclosure Statement(s), PTO-1449, Paper No(s). Notice of Draftsperson's Patent Drawing Review, PTO-948 Notice of Informal Patent Application, PTO-152 Interview Summary, PTO-413 SUPERVISORY PATENT EXAMINER SUPERVISORY PATENT EXAMINER
☐ Notice of Informal Patent Application, PTO-152
☐ Interview Summary, PTO-413 ☐ Examiner's Amendment/Comment
☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material
X Examiner's Statement of Reasons for Allowance

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DETAILED ACTION

1. Claims 1-22 are pending in this application. In the Amendment filed on 08/15/2000, claims 2, 3, 4, 13, 14, 15 have been canceled, claims 1 and 12 have been amended Claims 1 and 12 are independent claims.

Allowable Subject Matter

- 2. Claims 1, 5-12 and 16-22 are allowed.
- 3. The following is an examiner's statement of reasons for allowance:

In view of the convincing arguments, none of the prior art of record alone or in combination discloses all of the steps of these claims.

With regard to claim 1 and 12, Young et al (Page #2) teaches integrated system and method of data warehousing and delivery. However, Young et al do not teach an automated staging and streaming of data, where data from some transformation components is staged and data from other transformation components is streamed.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

4. The application having been allowed, formal drawing are required in response to this Office action.

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Inquiry

5. Any inquiry concerning this communication or earlier communication from the examiner should be direct to Thuy Do whose telephone number is (703)-306-5574. Any inquiry of a general nature or relating to the status of this application should be directed to the Group receptionist whose telephone number is (703)-305-9600.

Thuy Do

October 11 2000

SUPERISON PATERILE LAWINER